



**MEDICAL TRANSPORTATION APPLICATION**

Return Application to:  
**Rockwood Programs, Inc**  
 3001 Philadelphia Pike  
 Claymont, DE 19703  
 Tel: 800-365-0816  
 Fax: 302-764-9125  
 medmal@rockwoodinsurance.com

**NOTICE: PART OR ALL OF THE POLICY FOR WHICH THIS APPLICATION IS MADE IS WRITTEN ON A CLAIMS MADE AND REPORTED BASIS, WHICH MEANS THAT THE POLICY APPLIES ONLY TO ANY CLAIM FIRST MADE AGAINST THE INSURED AND REPORTED IN WRITING TO THE INSURER DURING THE POLICY PERIOD OR THE OPTIONAL EXTENSION PERIOD, IF APPLICABLE. AMOUNTS INCURRED AS CLAIMS EXPENSES SHALL REDUCE AND MAY EXHAUST THE LIMIT OF LIABILITY AND ARE SUBJECT TO THE DEDUCTIBLE. PLEASE READ THIS APPLICATION CAREFULLY.**

**BACKGROUND INFORMATION – PLEASE READ:**

1. Please type or print clearly.
2. Answer ALL questions completely leaving no blanks. If any questions, or part thereof, do not apply, print N/A in the space.
3. If additional space is needed to answer any questions fully, please attach a separate page.
4. This application must be completed, dated and signed by a Principal of the Applicant.

**Requested Attachments:**

1. Loss History for the last FIVE years
2. Copy of expiring declarations page if retroactive coverage is being requested

**I. APPLICANT INFORMATION**

- a) Name of Applicant/Entity(s) \_\_\_\_\_
- b) Date of Incorporation/Start of Operations: \_\_\_\_\_
- c) Physical Address (City, State, Zip Code) \_\_\_\_\_
- d) Population of area served: \_\_\_\_\_ Radius (mi): \_\_\_\_\_
- e) Is your service involved in any emergency transport operations, air ambulance operations, water rescue operations, off-shore EMS, or special event EMS?  
 Yes     No    If "Yes", please explain: \_\_\_\_\_

**II. COVERAGE HISTORY**

- a) Please provide details of professional liability coverage purchased in the last three (3) years to date:

Policy Period	Primary/Xs Limit	SIR/ Deductible	Carrier	Annual Premium	Occurrence or Claims Made	Retroactive Date

- b) Please provide details of general liability coverage purchased in the last three (3) years to date:

Policy Period	Primary/Xs Limit	SIR/ Deductible	Carrier	Annual Premium	Occurrence or Claims Made	Retroactive Date

- c) Name of Applicant's Auto Liability Insurer: \_\_\_\_\_
- i) Limits of Liability: \_\_\_\_\_
- ii) Does your Auto Liability policy specifically exclude claims arising from loading and unloading patients?  
 Yes  No
- iii) Does your Auto Liability policy remain silent on the applicability of coverage for claims arising from loading and unloading of patients?  Yes  No
- d) Has the applicant ever been declined or refused coverage, or had its coverage cancelled or non-renewed? If yes, please explain.  
 Yes  No \_\_\_\_\_

**III. PROFESSIONAL SERVICE, MEDICAL STAFF PROFILE, AND RISK MANAGEMENT**

- a) Please provide a full description of services rendered: \_\_\_\_\_
- b) Age of Clients:  
 \_\_\_\_\_ % Younger than 18      \_\_\_\_\_ % 18 to 60 years old      \_\_\_\_\_ % Older than 60
- c) Will the Applicant be transporting any pregnant women?.....  Yes  No
- d) Does the Applicant administer any anesthesia?..... Yes  No
- e) Does the Applicant contract their services to others on an independent contractor basis?..... Yes  No
- f) Annual Runs/Transports:

	Full Time	Part Time	Projected Next Year	Current Year	Last Year
Emergency Ambulance Transports					
Non-emergency Ambulance Transports					
Non-emergency Medical Transports					
Wheelchair transports					
Air Ambulance					
Other (please describe):					
EMTS-A					
EMTS-B					
Paramedics					
Drivers					
Nurses					
Other (please describe):					

- g) Do you run criminal background checks on all staff (employees and independent contractors)  
 Yes  No \_\_\_\_\_
- h) Are sex offender registry checks performed on all staff (employees and independent contractors)  
 Yes  No \_\_\_\_\_
- i) Are drug tests performed on all staff (employees and independent contractors)  
 Yes  No \_\_\_\_\_

- j) Are Applicant's vehicles equipped with (check all that apply)?  
 Cardiac monitors    Pacemakers    Defibrillators    Ventilators  
 Intubation kits    Oxygen    Pulse oximeters    Emergency cardiac drugs

- k) Does the Applicant:
- Use a stair-chair when transporting wheelchair-bound patients up/down stairs?.....Yes   No   N/A
- Use knee, hip, chest and over the shoulder safety restraints on stretchers?.....Yes   No   N/A
- Provide training on wheelchair tie down procedures and restraint systems on a regular basis?.....Yes   No   N/A
- Have a consent form patients are required to sign in the event they refuse the transport?.....Yes   No
- Require a patient care report to be completed for each transport?.....Yes   No
- Maintain accident files?.....Yes   No
- Use safety violations (e.g. auto crashes, patient events) as part of your discipline process?.....Yes   No

**IV. PRIVACY**

- a) Does the Applicant restrict employee access to private consumer information to employees on a business-need to know basis, and utilize firewalls and anti-virus software?..... Yes    No
- b) Does the Applicant provide training for employees on privacy and data security issues?..... Yes    No
- c) Does the Applicant, director, officer, employee or other proposed insured have knowledge or information of any fact, circumstance, situation, event or transaction which may give rise to a Claim against any Insured for invasion of or interference with any right of privacy, wrongful disclosure of personal information, or violation of any privacy-related statute or regulation? If yes, please explain.  
 Yes    No \_\_\_\_\_
- d) During the past three years, has anyone made any Claim against the Applicant for invasion of or interference with any right of privacy, wrongful disclosure of personal information, or violation of any privacy-related statute or regulation?  
 Yes    No \_\_\_\_\_

**V. INSURED HISTORY, CLAIMS, LOSSES AND INCIDENTS**

- a) Has any claim or suit for an error, omission or malpractice ever been made against you or your organization or any employees/staff working on your behalf?  
 Yes    No   If yes, how many? \_\_\_\_\_ Complete a Supplemental Claim form for each.
- b) Are you or any proposed insured for this insurance aware of any claim or suit, or any act, error, omission, fact, circumstance, or records request from any attorney which may result in a malpractice, general liability or products liability claim or suit? If yes, has each of these been reported to the current or any prior insurer?  
 Yes    No   If yes, how many? \_\_\_\_\_ Complete a Supplemental Claim form for each.
- c) Has the applicant or any staff:
- 1) Ever been the subject of disciplinary/investigative proceedings or reprimand by a governmental/administrative agency, hospital or professional association?  
 Yes    No
  - 2) Ever been convicted for an act committed in violation of any law or ordinance other than traffic offenses?  
 Yes    No
  - 3) Ever been treated for alcoholism or drug addiction?  
 Yes    No

THE UNDERSIGNED IS AUTHORIZED BY THE APPLICANT AND DECLARES THAT THE STATEMENTS SET FORTH HEREIN AND ALL WRITTEN STATEMENTS AND MATERIALS FURNISHED TO THE INSURER IN CONJUNCTION WITH THIS APPLICATION ARE TRUE. SIGNING OF THIS APPLICATION DOES NOT BIND THE APPLICANT OR THE INSURER TO COMPLETE THE INSURANCE, BUT IT IS AGREED THAT THE STATEMENTS CONTAINED IN THIS APPLICATION, ANY SUPPLEMENTAL ATTACHMENTS, AND THE MATERIALS SUBMITTED HERewith ARE THE BASIS OF THE CONTRACT SHOULD A POLICY BE ISSUED AND HAVE BEEN RELIED UPON BY THE INSURER IN ISSUING ANY POLICY.

THIS APPLICATION AND MATERIALS SUBMITTED WITH IT SHALL BE RETAINED ON FILE WITH THE INSURER AND SHALL BE DEEMED ATTACHED TO AND BECOME PART OF THE POLICY IF ISSUED. THE INSURER IS AUTHORIZED TO MAKE ANY INVESTIGATION AND INQUIRY IN CONNECTION WITH THIS APPLICATION AS IT DEEMS NECESSARY.

THE APPLICANT AGREES THAT IF THE INFORMATION SUPPLIED ON THIS APPLICATION CHANGES BETWEEN THE DATE OF THIS APPLICATION AND THE EFFECTIVE DATE OF THE INSURANCE, THE APPLICANT WILL, IN ORDER FOR THE INFORMATION TO BE ACCURATE ON THE EFFECTIVE DATE OF THE INSURANCE, IMMEDIATELY NOTIFY THE INSURER OF SUCH CHANGES, AND THE INSURER MAY WITHDRAW OR MODIFY ANY OUTSTANDING QUOTATIONS OR AUTHORIZATIONS OR AGREEMENTS TO BIND THE INSURANCE

I HAVE READ THE FOREGOING APPLICATION OF INSURANCE AND REPRESENT THAT THE RESPONSES PROVIDED ON BEHALF OF THE APPLICANT ARE TRUE AND CORRECT.

**WARNING**

**ANY PERSON WHO, WITH INTENT TO DEFRAUD OR KNOWING THAT (S)HE IS FACILITATING A FRAUD AGAINST THE INSURER, SUBMITS AN APPLICATION OR FILES A CLAIM CONTAINING A FALSE OR DECEPTIVE STATEMENT MAY BE GUILTY OF INSURANCE FRAUD.**

**ALABAMA, ARKANSAS, NEW MEXICO AND RHODE ISLAND:** any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

**COLORADO:** It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurer to defraud or attempt to defraud the insurer. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurer or agent of an insurer who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado division of insurance.

**DISTRICT OF COLUMBIA:** It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines and an insurer may deny insurance benefits if false information materially related to a claim made by the applicant.

**FLORIDA:** Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim or an application containing any false, incomplete or misleading information is guilty of a felony in the third degree.

**KANSAS:** any person who, knowingly and with intent to defraud, presents, causes to be presented or prepares with knowledge or belief that it will be presented to or by an insurer, purported insurer, broker or agent thereof, any written, electronic, electronic impulse, facsimile, magnetic, oral, or telephonic communication or statement as part of, or in support of, an application for the issuance of, or the rating of an insurance policy for personal or commercial insurance, or a claim for payment or other benefit pursuant to an insurance policy for commercial or personal insurance which such person knows to contain materially false information concerning any fact material thereto; or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act.

**LOUISIANA AND MARYLAND:** Any person who knowingly and willfully presents a false or fraudulent claim for payment of a loss or benefit or who knowingly and willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

**MAINE, TENNESSEE, VIRGINIA AND WASHINGTON:** It is a crime to knowingly provide false, incomplete or misleading information to an insurer to defraud the insurer. Penalties may include imprisonment, fines or denial of insurance benefits.

**MINNESOTA:** A person who files a claim with intent to defraud or helps commit a fraud against an insurer is guilty of a crime.

**OKLAHOMA:** Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

**PENNSYLVANIA:** Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading,

information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

**NEW YORK AND KENTUCKY:** Any person who knowingly and with intent to defraud an insurer or other person files an application for insurance or statement of claims containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime. New York applicants are subject to a civil penalty not to exceed \$5,000 and the stated value of the claim for each such violation. Pennsylvania applicants are subject to criminal and civil penalties.

Signed: \_\_\_\_\_

Date: \_\_\_\_\_

Print Name: \_\_\_\_\_

Title: \_\_\_\_\_  
(Owner, Partner, Authorized Officer)

If this **Application** is completed in Florida, please provide the Insurance Agent's name and license number. If this **Application** is completed in Iowa or New Hampshire, please provide the Insurance Agent's name and signature only.

Agent's Printed Name \_\_\_\_\_

Florida Agent's License Number: \_\_\_\_\_

Agent's Signature \_\_\_\_\_